

## 公正性方针

1、上海英格尔认证有限公司（以下简称 ICAS）是具有独立法律地位的第三方认证机构，我们已充分理解公正性在实施认证活动中的重要性，ICAS 的认证活动将始终秉持公正、非歧视的原则，公正地进行；ICAS 对所有被认证的客户一视同仁，不允许商业、财务或其他压力损害公正性。

2、ICAS 将遵守国家认证认可相关法律法规，CNCA 相关要求，CNAS 认可规范，UKAS 认可规范等认证认可方面的法律法规要求，规章要求，确保以独立、公正、透明、高效的方式开展工作。

3、ICAS 是一个提供认证活动的服务实体，其营业范围仅限于对客户认证的活动及与认证有关的相关活动，ICAS 承诺不将审核分包给管理体系咨询机构。ICAS 活动的营销或报价不与管理体系咨询机构的活动有联系。当向客户提供认可的认证服务时，ICAS 的服务仅限于国家认监委、认可委及国外认可机构认可的业务范围。

4、ICAS 在组织的最高层次建立维护公正性委员会。委员会由与认证活动有关的代表组成，包括政府有关部门代表、制造商或供方代表、客户代表、消费者、本机构代表等。各方利益均衡，任何一方不处于支配地位。委员会负责对认证机构运作和认证活动有关的方针、原则及内容的公正性进行审议，对可能影响机构公正性的产品、体系认证的变化进行公正性评价，并提出意见或建议。为确保公正性，ICAS 最高管理者不担任委员会的主任委员。

5、ICAS 在战略与政策制订、评价(审核)和认证决定三个层次上保持公正性和独立性。

6、ICAS 及其认证受理、评定、审核、检查、认证决定人员等所有参与认证过程的人员都没有任何可能影响认证过程。ICAS 不参加将会危及认证的公正性、科学性和客观性的各种活动。不提供可能有损于认证过程和决定的公正性、客观性和保密性的任何服务。ICAS 承诺，我们不会：

是获证产品的设计者、制造者、安装者、分销或维护者；

是获证过程的设计者、实施者、操作者或维护者；

是获证服务的设计者、实施者、提供者或维护者；

主动或被动地为其客户提供咨询；

当认证方案对客户的管理体系进行评价时，为其客户提供管理体系的咨询或内部审核。

7、ICAS 要求所有的工作人员对其所从事工作的公正性和保密性作出承诺。若发现违背承诺时，ICAS 有责任采取适当的纠正措施。

8、ICAS 承诺在机构内部实施信息安全管理制，通过完善自身信息安全意识和管理水平，提高信息安全管理绩效和客户信任度。

9、ICAS 建立专门的认证管理机制，以保证独立、公正地开展海外认证业务。

10、ICAS 具有完善的受理和处理来自申请人或其他方面有关认证业务或其他相关事项的申诉、投诉和争议的方针和程序，接受和配合社会各相关方的管理监督。

英格尔认证 总经理：杨宏奇  
2023 年 10 月 26 日

## **Impartiality Policy**

1. Shanghai Ingeer Certification Assessment Co., Ltd (ICAS) is a third-party certification body with independent legal status. We fully understand that impartiality plays an important role in the certification activities. ICAS would always stick to the principle of impartiality and non-discrimination in the certification activities; and treat all of our certification clients equally. ICAS would not allow commercial, financial or other pressures to compromise impartiality.

2. ICAS would comply with the relevant national statutory and regulatory requirements of certification and accreditation, relevant requirements by CNCA, CNAS certification standard, UKAS accreditation standard and other statutory and regulatory requirements of certification and accreditation as well as regulatory requirements in order to ensure the work is carried out in an independent, impartial, transparent and efficient way.

3. ICAS is a service entity which offers single certification activity, its service scope is limited to certification activity for the client and related certification activity. ICAS promised that it would not outsource audit to management system consultancy organization. The marketing and quote of ICAS activities have no relations with management system consultancy bodies. When providing our approved certification service to the client, the service provided by ICAS is only limited to the business scope accredited by CNCA, CNAS and foreign accreditation body.

4. ICAS set up impartiality committee at its top level. The committee is composed of representatives who are related to certification activity including representatives of relating government departments, representatives of manufacturer or external provider, client representative, consumer, representative of our body etc. The consultancy with appropriate interested parties shall be balanced with no single interest predominating. The committee would give impartiality comments, suggestion or advice to the product, system certification which may affect impartiality of the body. To ensure the impartiality, ICAS top manager would not undertake the chairman of the committee.

5. ICAS would maintain impartiality and independence on the three levels of strategy and policy making, evaluation (audit) and certification decision.

6. ICAS and its certification processing, evaluation, audit, inspection, certification decision personnel and all personnel who participate in the certification process have no opportunity to affect the certification process. ICAS would neither participate in any kinds of activities which may compromise the impartiality, scientificity and impartiality, nor provide any services which may compromise the impartiality, objectivity and confidentiality of the certification process and decision. ICAS promises that we would not:

Be the designer, manufacturer, installer, distributor or maintainer of the product with certification;

Be the designer, implementer, operator or maintainer of the certification process;

Be designer, implementer, provider or maintainer of the certification service;

Offer consultancy services actively or passively to other client;

Provide management system consultancy or internal audit to its client when evaluating management system of the client in the certification scheme.

7. ICAS requires all employees to make commitment on the impartiality and confidentiality in the area they work in. Once the breach of the commitment is found, ICAS takes responsibility to adopt proper corrective action.

8. ICAS promises to implement an information security regulatory regime within the organization, enhance its own information security awareness and management level, and improve information security management performance and customer trust.

9. ICAS has established a specialized certification management mechanism to ensure independent and fair overseas certification business.

10. ICAS has complete policy and procedure to acceptance and dealing with appeals, complaints and disputes from applicant or other relevant certification business or other related matter, ICAS accepts and copes with management surveillance from all interested party in the society.

**General Manager of ICAS: Yang, Hongqi**

**26<sup>th</sup>, Oct, 2023**